

Docket No. 10762-9 **4/9**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bitler et al

Application No. 09/733,744

Filed: 12/08/2000

Title: Polymeric Modifying Agents

Group Art Unit 1924

Examiner: T. Yoon

Mail Stop AF, Commissioner for Patents

P.O. Box 1450, Alexandria, Virginia 22313-1450

Attention: OIPE

**REQUEST FOR CORRECTED FILING RECEIPT**

Applicants acknowledge with thanks the corrected Filing Receipt mailed December 16, 2003. Unfortunately, the corrected Filing Receipt is still not correct, as is apparent from the notation on the corrected Filing Receipt that the "data provided by applicant is not consistent with the PTO records". The undersigned apologizes for the incorrect information set out on the previous request.

Please supply a further Corrected Filing Receipt, with the amendments shown on the attached copy of the Corrected Filing Receipt mailed December 16, 2003. For the avoidance of further error, attached are copies of the Filing Receipts for the earlier applications Serial Nos. 08/726,739, 08/726,741 and 08/726,740, for which incorrect data was previously supplied, and for the parent application Serial No. 09/216,520. From these papers, in conjunction with the Preliminary Amendment filed with the application and the Second Preliminary Amendment filed on March 26, 2001, it will be apparent that the benefit claims comply with the requirements of 37 CFR 1.78.

Respectfully submitted

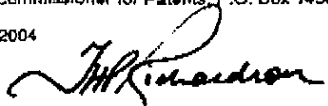
  
T.H.P. Richardson

Registration No. 28,805, Tel. No. 650-854-6304

**EXPRESS MAIL CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this paper is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated below and is addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail mailing label No. EF058512575US Date of Deposit January 5, 2004  
Typed name of person signing this certificate: T. H. P. Richardson Signature



*COPY filed with Amendment transmitted by fax to Examiner on 01/05/04.*

10762-9  
Page 1 of 2

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Patent Center for Patents  
P.O. Box 1000  
Alexandria, Virginia 22310-1000  
www.uspto.gov

APPL. NO.	FILING OR 371 (S) DATE	ART UNIT	FILED REGD	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	END CLMS
09/733,744	12/08/2000	1714	1258	10762-9		101	6

Sheldon & Mak  
225 South Lake Avenue, 9th floor  
Pasadena, CA 91101CONFIRMATION NO. 2433  
CORRECTED FILING RECEIPT

Date Mailed: 12/16/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the date presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-748-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Steven P. Bitter, Menlo Park, CA;  
Ray F. Stewart, Redwood City, CA;  
Mark A. Wanthal, Redwood Shores, CA;  
David A. Kamp, Sunnyvale, CA;  
Paul A. Meyers, San Ramon, CA;  
David D. Taft, Atherton, CA;  
Donald A. Schultz, San Mateo, CA;

and is a CIP of 08/726,739 10/15/1996 ABN  
which is a CON of 08/399,724 03/07/1995 ABN  
and is a CIP of 08/726,741 10/15/1996 ABN  
which is a CON of 08/448,688 05/24/1995 ABN  
which is a CON of 08/399,724 03/07/1995 ABN  
and is a CIP of 08/726,740 10/15/1996 ABN  
which is a CON of 08/448,685 05/24/1995 ABN  
which is a CON of 08/399,724 03/07/1995 ABN

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/216,520 12/16/1998 PAT 6,255,367  
which is a CON of 08/710,161 09/12/1998 ABN  
and is a CIP of 08/399,724 03/07/1995 ABN  
which is a CON of 08/399,724 03/07/1995 ABN  
Data provided by applicant is not consistent with PTO records.

## Foreign Applications

UNITED STATES OF AMERICA PCT/US98/30023 03/08/1996

If Required, Foreign Filing License Granted: 03/08/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

*COPY filed with Amendment transmitted by fax  
to Examiner on 01/05/04*

PTO-104X  
(Rev. 5-2001)FILING RECEIPT  
CORRECTEDUNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	PL FEE REQ'D	ATTORNEY DOCKET NO.	DRWS	TOT CL	PID CL
09/216,520	12/16/98	1714	\$2,840.00	10782-SUG	0	211	22

SHELDON & MAK  
225 SOUTH LAKE AVENUE  
SUITE 900  
PASADENA CA 91101*Copy filed 01/05/04 with request  
for corrected filing receipt for  
SN 09/733,744*

Receipt is acknowledged of this non-provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees associated by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any solutions to the Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections to applications.

Applicant(s) STEVEN P. BITLER, MENLO PARK, CA; RAY F. STEWART, REDWOOD CITY, CA; MARK A. WANTHAL, REDWOOD SHORES, CA; DAVID A. KAMP, SUNNYVALE, CA; PAUL A. MEYERS, SAN RAMON, CA; DAVID D. TAFT, ASHERTON, CA; DONALD A. SCHULTS, SAN MATEO, CA.

## CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF	08/710,161	09/12/96	
WHICH IS A CIP OF	08/399,724	03/07/95	ABN ✓
AND A CIP OF	08/726,739	10/15/96	ABN ✓
WHICH IS A CON OF	08/399,724	03/07/95	ABN ✓
AND A CIP OF	08/726,741	10/15/96	ABN ✓
WHICH IS A CON OF	08/448,888	05/19/95	ABN ✓
WHICH IS A CON OF	08/399,724	03/07/95	ABN ✓
AND A CIP OF	08/726,740	10/15/96	ABN ✓
WHICH IS A CON OF	08/448,885	03/24/95	ABN ✓
WHICH IS A CON OF	08/399,724	03/07/95	ABN ✓

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/06/99 \*\* SMALL ENTITY \*\*  
TITLE  
POLYMERIC MODIFYING AGENTS

PRELIMINARY CLASS: 523

DATA ENTRY BY: MAY, MOLIKI

TEAM: 03 DATE: 07/13/99

I HEREBY CERTIFY THAT THE DATA ENTERED ON THIS RECEIPT IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE AND BELIEF.

(See reverse for new important information)

*COPY filed with Amendment transmitted by  
fax to Examiner on 01/05/04*

PTO-103X  
(Rev. 8-95)FILING RECEIPT  
DUPLICATE
 UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

7/9

APPLICATION NUMBER	FILING DATE	GAP ART UNIV	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRAWGS	TOT CL	IND CL
08/726,739	10/15/96	1511	\$792.00	10762-5US ✓	0	37	3

 JEFFREY G SHELDON  
 SHELDON AND MARK  
 SUITE 900  
 225 SOUTH LAKE AVE  
 PASADENA CA 91101

*Copy filed 01/05/04 with request  
 for corrected filing receipt for  
 SN 09/733,744.*

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

STEVEN P. BITLER, MENLO PARK, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/399,724 03/07/95 ABN

FOREIGN FILING LICENSE GRANTED 01/17/97

TITLE

POLYMERIC MODIFYING AGENTS

PRELIMINARY CLASS: 524

*Copy filed with Amendment transmitted  
 by fax to Examiner on 01/05/04*

(see reverse)

PTO-103X  
(Rev. 8-95)FILING RECEIPT  
CORRECTEDUNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	PL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/726,740	10/15/96	1714	\$1,034.00	10762-7	0	32	3

JEFFREY G SHELTON  
SHELTON & MAK  
225 SOUTH LAKE AVENUE  
SUITE 900  
PASADENA CA 91101*Copy filed 01/05/04 with request  
for corrected filing receipt for  
SA 09/733,744**8/9*

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

STEVEN P. BITLER, MENLO PARK, CA; RAY F. STEWART,  
REDWOOD CITY, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/448,685 05/24/95  
WHICH IS A CON OF 08/399,724 03/07/95

FOREIGN FILING LICENSE GRANTED 01/21/97

TITLE

POLYMERIC MODIFYING AGENTS

PRELIMINARY CLASS: 524

*COPY filed with Amendment transmitted  
by fax to Examiner on 01/05/04.*

PTO-103X  
(Rev. 8-96)FILING RECEIPT  
CORRECTEDUNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/726,741	10/15/96	1714	\$770.00	10762-6	0	16	3

JEFFREY G SHELTON  
SHELTON & MAK  
225 SOUTH LAKE AVENUE  
SUITE 900  
PASADENA CA 91101*Copy filed 01/05/04 with request  
for corrected filing receipt for  
SN 08/733,744**9/9*

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

STEVEN P. BITLER, MENLO PARK, CA; RAY F. STEWART,  
REDWOOD CITY, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CON OF 08/448,688 05/24/95 ABN  
WHICH IS A CON OF 08/399,724 03/07/95

FOREIGN FILING LICENSE GRANTED 12/04/96

TITLE

POLYMERIC MODIFYING AGENTS

PRELIMINARY CLASS: 524

*copy filed with Amendment transmitted  
by fax to Examiner on 01/05/04.*